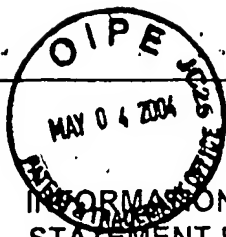


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| <p align="center">SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT BY APPLICANT</p> <p>DEC 23 2004 PARK OFFICE</p> | | | | <i>Complete if Known</i> | |
| | | | | Application Number | 10/629,895 |
| | | | | Filing Date | July 30, 2003 |
| | | | | First Named Inventor | John J. ROSSI |
| | | | | Group Art Unit | 1646/611 |
| | | | | Examiner Name | To Be Assigned <i>P. V. J. R.</i> |
| | | | | Confirmation No. | 8585 |
| Sheet | 1 | of | 1 | Attorney Docket Number | 1954-413 |

| NON PATENT LITERATURE DOCUMENTS | | | |
|---------------------------------|--------------------------|--|--------------------|
| Examiner Initials* | Cite No. ¹ | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published | T ² |
| <i>P</i> | AA | Cordelier, Pierre, <i>et al.</i> , "Targeting CCR5 with siRNAs: Using Recombinant SV40-Derived Vectors to Protect Macrophages and Microglia from R5-Tropic HIV," <i>Oligonucleotides</i> 13:281-294 (2003). | |
| <i>P</i> | AB | Li, Ming-Jie, <i>et al.</i> , "Inhibition of HIV-1 Infection by Lentiviral Vectors Expressing Pol III-Promoted Anti-HIV RNAs," <i>Molecular Therapy</i> , Vol. 8, No. 2, pp. 196-206, August 2003. | |
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| Examiner Signature | <i>P. J. R.</i> | | Date Considered |
| | | | <i>2/2/04</i> |

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.
¹Unique citation designation number. ²Applicant is to place a check mark here if English language Translation is attached.



INFORMATION DISCLOSURE
STATEMENT BY APPLICANT

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|--------------------------|---|----|---|----------------------------------|----------------------|
| <p>Complete if Known</p> | | | | | |
| | | | | Application Number | 10/629,895 |
| | | | | Filing Date | July 30, 2003 |
| | | | | First Named Inventor | John J. ROSSI et al. |
| | | | | Group Art Unit | 4646 1631 |
| Examiner Name | | | | To Be Assigned <i>D. Whitman</i> | |
| Sheet | 1 | of | 2 | Attorney Docket Number | 1954-413 |

U.S. PATENT DOCUMENTS

| Examiner Initials* | Cite No. ¹ | U.S. Patent Document | | Name of Patentee or Applicant of Cited Document | Date of Publication of Cited Document MM-DD-YYYY |
|--------------------|-----------------------|----------------------|--------------------------------------|---|--|
| | | Number | Kind Code ² (if known) | | |
| <i>R</i> | AA | 6,100,087 | | Rossi et al. | 08-08-2000 |
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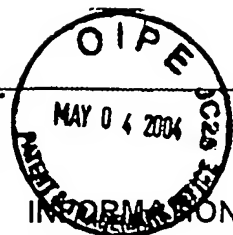
FOREIGN PATENT DOCUMENTS

| Examiner Initials* | Cite No. ¹ | Foreign Patent Document | | | Name of Patentee of Applicant of Cited Document | Date of Publication of Cited Document MM-DD-YYYY | T ⁶ |
|--------------------|-----------------------|--------------------------|---------------------|---------------------------------|---|--|----------------|
| | | Office ³ Code | Number ⁴ | Kind ⁵ (if known) | | | |
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| Examiner Signature | <i>Phi 1 Lt</i> | Date Considered | <i>2/28/06</i> |
|--------------------|-----------------|-----------------|----------------|

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¹Unique citation designation number. ²See attached Kinds of U.S. Patent Documents. ³Enter Office that issued the document, by the two-letter code. ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to place a check mark here if English language translation is attached. AB Indicates that only an English language abstract is attached.



INFORMATION DISCLOSURE
STATEMENT BY APPLICANT

Complete if Known

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|----------------------|----------------------|
| Application Number | 10/629,895 |
| Filing Date | July 30, 2003 |
| First Named Inventor | John J. ROSSI et al. |
| Group Art Unit | 4646 (631) |
| Examiner Name | To Be Assigned |

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|-------|---|----|---|------------------------|----------|
| Sheet | 2 | of | 2 | Attorney Docket Number | 1954-413 |
|-------|---|----|---|------------------------|----------|

NON PATENT LITERATURE DOCUMENTS

| Examiner Initials* | Cite No. ¹ | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published | T ² |
|--------------------|-----------------------|--|----------------|
| RN | AB | Bertrand, Edouard, et al., "The expression cassette determines the functional activity of ribozymes in mammalian cells by controlling their intracellular localization," <i>RNA</i> 3:75-88, 1997. | |
| | AC | Brummelkamp, Thijn R., et al., "A System for Stable Expression of Short Interfering RNAs in Mammalian Cells," <i>Science</i> 296:550-553, April 19, 2002. | |
| | AD | Cagnon, Laurence, et al., "Downregulation of the CCR5 β -Chemokine Receptor and Inhibition of HIV-1 Infection by Stable VA1-Ribozyme Chimeric Transcripts," <i>Antisense & Nucleic Acid Drug Development</i> 10:251-261, 2000. | |
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| | AF | Good, P.D., et al., "Expression of small, therapeutic RNAs in human cell nuclei," <i>Gene Therapy</i> 4:45-54, 1997. | |
| | AG | Paul, Cynthia P., et al., "Effective expression of small interfering RNA in human cells," <i>Nature Biotechnology</i> 20:505-508, May 2002. | |
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| R | AI | Sharp, Phillip A., et al., "RNA interference - 2001," <i>Genes & Development</i> 15:485-490, 2001. | |
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Examiner
Signature

P. C. Li

Date
Considered

2/24/02

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